



2171

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

John M. MacLean

Application No: 09/782,477

Filed: February 13, 2001

For: Transaction Management System

Examiner: not assigned

Art Unit: 2171

Atty. Docket: TTZ-001.0

RECEIVED
JAN 14 2002

Technology Center 2100

CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231, on the date set forth below:

Date: December 17, 2001

William Homan

Commissioner for Patents
Washington, DC 20231

TRANSMITTAL

Dear Sir:

Enclosed please find the following:

1. Information Disclosure Statement;
2. References cited AA-AD; and
3. Return postcard.

Should there be any questions after reviewing this paper, the Examiner is invited to contact the undersigned at (617) 832-1000.

Respectfully Submitted,

Date: December 17, 2001

Customer No: 25181

Patent Group

Foley, Hoag & Eliot LLP

One Post Office Square

Boston, MA 02109-2170

Tel.: 617-832-1000

Fax: 617-832-7000

Daniel P. Gaudet

Reg. No. 48,584



34
07
02-26-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

John M. MacLean

Application No: 09/782,477

Filed: February 13, 2001

For: Transaction Management System

Examiner: not assigned

Art Unit: 2171

Atty. Docket: TTZ-001.01

CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231, on the date set forth below:

Date: December 17, 2001

William Homan RECEIVED
William Homan

JAN 14 2002

Commissioner for Patents
Washington, DC 20231

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicants and/or their attorney in compliance with the requirements of 37 C.F.R. § 1.56. Pursuant to 37 C.F.R. § 1.98, a copy of the each document listed is provided herein.

This Information Disclosure Statement is being filed before the mailing of a first Office Action on the merits in accordance with 37 C.F.R. § 1.97(b)(3).

If any fees are due in connection with the filing of this Information Disclosure Statement, the U.S. Patent and Trademark Office is hereby authorized to charge such fees to our **Deposit Account Number 06-1448**.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached Form PTO-1449.

This submission does not represent that a search has been made or that no better art exists. Nor does it constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Should there be any questions after reviewing this paper, the Examiner is invited to contact the undersigned at (617) 832-1000.

Respectfully Submitted,

Date: December 17, 2001

Customer No: 25181

Patent Group

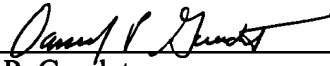
Foley, Hoag & Eliot LLP

One Post Office Square

Boston, MA 02109-2170

Tel.: 617-832-1000

Fax: 617-832-7000



Daniel P. Gaudet
Reg. No. 48,584